

Form PTO-1449 (Modified)

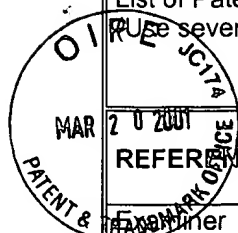
Atty Docket No.:
004688.P010

Serial N : 09/783,886

List of Patents and Publications Statement
(Use several sheets if necessary)

Applicant: Dan Kikinis

Filing Date: 2/14/01



REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

Examiner Initials		Document No.		Class	Sub- Class	Filing date if appropriate
W	AA	5,929,849	Dan Kikinis			
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					
	AM					
	AN					
	AO					
	AP					
	AQ					
	AR					

FOREIGN PATENT DOCUMENTS

No.		Document No.	Date	Country	Class	Sub-Class	Trans- lation

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AS	
	AT	
	AU	
	AV	
	AW	
	AX	
	AY	
	AZ	

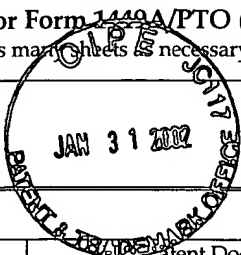
Examiner

Date Considered

11/10/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)	Attorney Docket No.: 4688.P010	Application Number: 09/783,886
	First Named Inventor: Kikinis	
	Filing Date: 2/14/2001	



U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			
		5,414,773		Handelman	05-09-1995	
		5,479,268		Young et al.	12-26-1995	
		5,532,754		Young et al.	07-02-1996	
		5,737,028		Bertram et al.	04-07-1998	
		5,751,282		Girard et al.	05-12-1998	
		5,818,441		Throckmorton et al.	10-06-1998	
		5,841,563		Effenberger	11-24-1998	
		5,900,915		Morrison	05-04-1999	
		5,926,168		Fan	07-20-1999	
		5,956,456		Bang et al.	09-21-1999	
		5,999,167		Marsh et al.	12-07-1999	
		6,029,195		Herz	02-22-2000	
		6,075,575		Schein et al.	06-13-2000	
		6,167,188		Young et al.	12-26-2000	
		6,205,485	B1	Kikinis	03-20-2001	
		6,233,389	B1	Barton et al.	05-15-2001	
		6,271,831	B1	Escobosa et al.	08-07-2001	

RECEIVED
FEB 04 2002
Technology Center 2600

FOREIGN PATENT DOCUMENTS


Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		Office ³	Number ⁴	Kind Code ⁵ (If known)				
		WO	00/01149	A1	NDS Limited	01-06-2000		
		JP	410093880	A	KOMI, et al.	04-10-1998		n

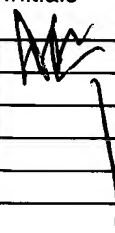
Examiner Signature: *[Signature]* Date Considered: 11/10/03

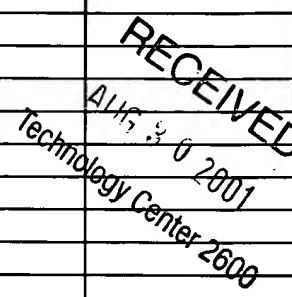
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.
Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Form PTO-1449 (Modified)		Atty Docket No.: 004688.P010		Serial N : 09/783,886	
List of Patents and Publications Statement (Use several sheets if necessary)				Applicant: Dan Kikinis	
				Filing Date : 02/14/01	

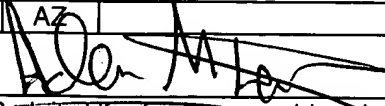


REFERENCE DESIGNATION						
Examiner Initials	Document No.	Document Title	Class	Sub-Class	Filing date if appropriate	
	AA	5,808,613	Marrin, et al.			
	AB	6,002,403	Sugiyama, et al.			
	AC	6,043,818	Nakano			
	AD	5,724,492	Matthews III, et al.			
	AE	5,977,964	Williams, et al.			
	AF	5,485,197	Hoarty			
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					
	AM					
	AN					
	AO					
	AP					
AQ						
AR						



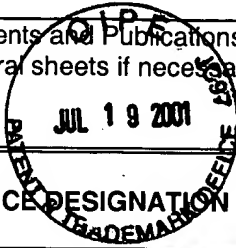
FOREIGN PATENT DOCUMENTS							
No.	Document No.	Date	Country	Class	Sub-Class	Translation	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
AS		
AT		
AU		
AV		
AW		
AX		
AY		
AZ		

Examiner: 	Date Considered: 11/10/03
---	---------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (Modified)	Atty Dock t No.: 004688.P010	Serial No.: 09/783,886
List of Patents and Publications Statement (Use several sheets if necessary)		Applicant: Dan Kikinis
		Filing Dat : 02/14/01



REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
Examiner Initials		Document No.		Class	Sub-Class	Filing date if appropriate
W	AA	5,243,418	Kuno, et al.			
	AB	5,999,187	Dehmlow, et al.			
	AC	6,243,039	Elliot			
	AD	6,061,055	Marks			
	AE	6,081,271	Bardon, et al.			
	AF	5,704,837	Iwasaki, et al.			
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					
	AM					
	AN					
	AO					
	AP					
AQ						
AR						

RECEIVED

JUL 23 2001

Technology Center 2000

FOREIGN PATENT DOCUMENTS							
No.		Document No.	Date	Country	Class	Sub-Class	Translation

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
	AS	
	AT	
	AU	
	AV	
	AW	
	AX	
	AY	
	AZ	

Examiner:	Date Considered: 11/10/03
-----------	---------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.